

**Disclosure of votes cast in Listed Equity Holdings during the financial year 2020-21**

Sr.No.	Name of Investor	Meeting Date*	Company name	Type of Meeting (AGM/EGM/ Postal Ballot)	Manner of Voting (e-Voting, physically at meetings, postal ballot, etc.)	Proposal's Description	Investee Company Management Proposal	Vote (For/ Against/ Abstain)	Reason supporting the vote decision
1	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	Raising of funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement/ preferential allotment or such other permissible mode or combinations thereof.	In favour of the Proposal	For	Nothing objectionable
2	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To approve the amendments in Employee Stock Option Scheme 2018 ("ESOP Scheme 2018")	In favour of the Proposal	For	Nothing objectionable
3	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To approve the amendments in Employee Stock Option Scheme 2016 ("ESOP Scheme 2016").	In favour of the Proposal	For	Nothing objectionable
4	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To approve the amendments in Employee Stock Option Scheme 2015 Plan A & B ("ESOP Scheme 2015").	In favour of the Proposal	For	Nothing objectionable
5	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To issue debt securities/bonds/other permissible instruments, in one or more tranches.	In favour of the Proposal	For	Nothing objectionable
6	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To appoint Mr. Kannan Gopalaraghavan Vellur (V G Kannan) (DIN:03443982) as an Independent Director.	In favour of the Proposal	For	Nothing objectionable
7	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To appoint Mr. Pushpinder Singh (DIN: 08496066) as an Independent Director.	In favour of the Proposal	For	Nothing objectionable
8	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To appoint Mr. Mankal Shankar Sriram (M S Sriram) (DIN: 00588922) as an Independent Director.	In favour of the Proposal	For	Nothing objectionable
9	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To appoint a director in place of Mr. Sanjay Agarwal (DIN: 00009526), who retires by rotation and, being eligible, has offered himself for re- appointment.	In favour of the Proposal	For	Nothing objectionable
10	Kedaara Capital Alternative Investment Fund	21-Jul-20	AU Small Finance Bank Limited	AGM	E-Voting	To adopt the Audited Financial Statements of the Bank for the Financial Year ended on 31st March 2020 and the reports of the Board of Directors and the Auditors thereon.	In favour of the Proposal	For	Nothing objectionable
11	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To approve "Equity Stock Option Plan For Employees 2020" ("ESOP-2020") of Aavas Financiers Limited	In favour of the Proposal	For	Nothing objectionable
12	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To approve issuance of Non-Convertible Debentures, in one or more tranches /issuances on Private Placement Basis	In favour of the Proposal	For	Nothing objectionable
13	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To approve creation of charges on assets of the Company under Section 180(1)(a) of the Companies Act, 2013 to secure borrowings made/to be made under section 180(1) (c) of the Companies Act, 2013	In favour of the Proposal	For	Nothing objectionable
14	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To approve increase in the borrowing powers in excess of Paid-up Share Capital, Free Reserves and Securities Premium of the Company under Section 180(1)(c) of the Companies Act, 2013	In favour of the Proposal	For	Nothing objectionable
15	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To appoint Mrs. Soumya Rajan (DIN: 03579199) as an Independent Director of the Company	In favour of the Proposal	For	Nothing objectionable
16	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To appoint a Director in place of Mr. Kartikeya Dhruv Kaji (DIN: 07641723), who retires by rotation and being eligible, offers himself for re-appointment	In favour of the Proposal	For	Nothing objectionable
17	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To appoint a Director in place of Mr. Manas Tandon (DIN: 05254602), who retires by rotation and being eligible, offers himself for re-appointment	In favour of the Proposal	For	Nothing objectionable

18	Kedaara Capital Alternative Investment Fund	22-Jul-20	Aavas Financiers Limited	AGM	E-Voting	To consider and adopt: a. the audited Standalone Financial Statements of the Company for the Financial Year ended March 31, 2020 together with the reports of the Board of Directors and Auditors thereon; and b. the audited Consolidated Financial Statements of the Company for the Financial Year ended March 31, 2020 together with the report of the Auditors thereon	In favour of the Proposal	For	Nothing objectionable
19	Kedaara Capital Alternative Investment Fund	19-Jul-20	Spandana Sphoorty Financial Limited	Postal Ballot	E-Voting	To approve the issue of Non Convertible Debentures (NCDs) on private placement basis.	In favour of proposal	For	Nothing objectionable
20	Kedaara Capital Alternative Investment Fund	30-Sep-20	Spandana Sphoorty Financial Limited	AGM	E-Voting	RE-APPOINTMENT OF MR. SUNISH SHARMA, (DIN: 00274432), WHO RETIRES BY ROTATION AS A DIRECTOR	In favour of proposal	For	Nothing objectionable
21	Kedaara Capital Alternative Investment Fund	30-Sep-20	Spandana Sphoorty Financial Limited	AGM	E-Voting	RE-APPOINTMENT OF MR. AMIT SOBTI, (DIN: 07795874), WHO RETIRES BY ROTATION AS A DIRECTOR	In favour of proposal	For	Nothing objectionable
22	Kedaara Capital Alternative Investment Fund	30-Sep-20	Spandana Sphoorty Financial Limited	AGM	E-Voting	ADOPTION OF THE AUDITED FINANCIAL STATEMENTS INCLUDING THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED MARCH 31, 2020, AND THE REPORTS OF THE BOARD OF DIRECTORS AND THE AUDITORS THEREON.	In favour of proposal	For	Nothing objectionable
23	Kedaara Capital Alternative Investment Fund	26-Mar-21	Spandana Sphoorty Financial Limited	Postal Ballot	E-Voting	Approve the grant of Stock Options to the Employees/Directors of Subsidiary Companies of the Company under the Spandana Employee Stock Option Scheme, 2021.	In favour of proposal	For	Nothing objectionable
24	Kedaara Capital Alternative Investment Fund	26-Mar-21	Spandana Sphoorty Financial Limited	Postal Ballot	E-Voting	Approve the Spandana Employee Stock Option Scheme, 2021 under the Spandana Employee Stock Option Plan 2018 and grant of Stock Options to the Employees/ Directors of the Company thereunder.	In favour of proposal	For	Nothing objectionable
* This is date of announcement of voting results									